

Prevalence, mortality and survival in diabetes epidemiology

Bendix Carstensen Steno Diabetes Center Copenhagen
Herlev, Denmark

IDEG 2025 Training day, Bangkok, 3 April 2025

<http://bendixcarstensen.com/AdvCoh/courses/IDEG2025>

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Prevalence: Tables

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prev-tab

Prevalence

- ▶ the fraction of a population that suffer a particular condition, diabetes for example
- ▶ for several conditions (T1D, T2D) — mutually exclusive?
- ▶ at a given point in time (date, age, other time)
- ▶ depends on age, sex, . . .

get the data

```
> nhis <- read.csv(
+ "https://bendixcarstensen.com/AdvCoh/courses/IDEG2025/data/NHIS_IDEG.csv",
+   header = TRUE)
> str(nhis)

'data.frame':      29522 obs. of  10 variables:
 $ HHX      : chr  "H029691" "H028812" "H045277" "H021192" ...
 $ WTFA_A   : num  7371 3147 10808 4662 10930 ...
 $ SEX_A    : int   1 1 1 2 2 2 2 1 2 1 ...
 $ AGEP_A   : int   67 73 48 42 50 46 36 44 80 61 ...
 $ EDUCP_A  : int   1 8 5 9 7 8 8 10 8 1 ...
 $ DIBEV_A  : int   2 1 2 2 2 2 2 2 2 2 ...
 $ DIBAGETC_A: int   NA 61 NA NA NA NA NA NA NA NA ...
 $ DIFYRSTC1_A: int   NA 12 NA NA NA NA NA NA NA NA ...
 $ DIBTYPE_A : int   NA 2 NA NA NA NA NA NA NA NA ...
 $ BMICAT_A : int   3 3 4 3 2 3 2 4 4 3 ...
```

make names simpler

```
> newn <- tolower(gsub("_A", "", names(nhis)))
> cbind(names(nhis), newn)

      names(nhis)      newn
[1,] "HHX"           "hhx"
[2,] "WTFA_A"        "wtfa"
[3,] "SEX_A"          "sex"
[4,] "AGEP_A"         "agep"
[5,] "EDUCP_A"        "educp"
[6,] "DIBEV_A"        "dibev"
[7,] "DIBAGETC_A"    "dibagetc"
[8,] "DIFYRSTC1_A"   "difyrstc1"
[9,] "DIBTYPE_A"     "dibtype"
[10,] "BMICAT_A"     "bmicat"

> names(nhis) <- newn
> str(nhis)

'data.frame':      29522 obs. of  10 variables:
 $ hhx      : chr  "H029691" "H028812" "H045277" "H021192" ...
 $ wtfa     : num  7371 3147 10808 4662 10930 ...
 $ sex      : int   1 1 1 2 2 2 2 1 2 1 ...
 $ agep     : int   67 73 48 42 50 46 36 44 80 61 ...
```

sensible names for groups

```
> nhis <- mutate(nhis, dibev = factor(dibev, labels = c("Y", "N", "R", "U")),
+               dibtype = factor(dibtype, labels = c("T1", "T2", "O", "O", "O")),
+               agr = cut(agep, seq(0, 100, 10), right = FALSE),
+               sex = factor(sex, labels = c("M", "W", "U", "U")))
> str(nhis)

'data.frame':      29522 obs. of  11 variables:
 $ hhx      : chr  "H029691" "H028812" "H045277" "H021192" ...
 $ wtfa     : num  7371 3147 10808 4662 10930 ...
 $ sex      : Factor w/ 3 levels "M","W","U": 1 1 1 2 2 2 2 1 2 1 ...
 $ agep     : int   67 73 48 42 50 46 36 44 80 61 ...
 $ educp    : int   1 8 5 9 7 8 8 10 8 1 ...
 $ dibev    : Factor w/ 4 levels "Y","N","R","U": 2 1 2 2 2 2 2 2 2 2 ...
 $ dibagetc : int   NA 61 NA NA NA NA NA NA NA NA ...
 $ difyrstc1: int   NA 12 NA NA NA NA NA NA NA NA ...
 $ dibtype  : Factor w/ 3 levels "T1","T2","O": NA 2 NA NA NA NA NA NA NA ...
 $ bmicat   : int   3 3 4 3 2 3 2 4 4 3 ...
 $ agr      : Factor w/ 10 levels "[0,10)","[10,20)",...: 7 8 5 5 6 5 4 5 9 7 ...
```

table of overall prevalence

```
> (tb <- with(nhis, table(dibev, exclude = NULL)))
dibev
  Y    N    R    U
3294 26195  23  10
> tb["Y"] / (tb["Y"] + tb["N"]) * 100
      Y
11.17027
```

table of prevalence by age

```
> with(nhis, table(Age = agr,
+                 Diabetes = dibev,
+                 exclude = NULL)) |> addmargins() -> diab
> diab
```

Age	Diabetes				Sum
	Y	N	R	U	
[0,10)	0	0	0	0	0
[10,20)	3	426	0	0	429
[20,30)	44	3308	1	0	3353
[30,40)	132	4534	0	1	4667
[40,50)	281	3863	4	1	4149
[50,60)	528	3953	4	0	4485
[60,70)	976	4596	5	1	5578
[70,80)	920	3556	5	3	4484
[80,90)	408	1899	1	4	2312
[90,100)	2	60	3	0	65
Sum	3294	26195	23	10	29522

... we want to get rid of **R** and **U** categories

table by age

```
> (diab <- addmargins(diab[, 1:2], 2))
      Diabetes
Age      Y    N    Sum
[0,10)    0    0     0
[10,20)    3  426   429
[20,30)   44 3308  3352
[30,40)  132 4534  4666
[40,50)  281 3863  4144
[50,60)  528 3953  4481
[60,70)  976 4596  5572
[70,80)  920 3556  4476
[80,90)  408 1899  2307
[90,100)   2   60    62
Sum      3294 26195 29489
> cbind(round(diab[,"Y"] / diab[,"Sum"] * 100, 1))
      [,1]
[0,10)  NaN
[10,20)  0.7
[20,30)  1.3
[30,40)  2.8
```

table of % by age

```
> cbind(round(diab[,"Y"] / diab[,"Sum"] * 100, 1))
      [,1]
[0,10)  NaN
[10,20)  0.7
[20,30)  1.3
[30,40)  2.8
[40,50)  6.8
[50,60) 11.8
[60,70) 17.5
[70,80) 20.6
[80,90) 17.7
[90,100) 3.2
Sum      11.2
```

table by age and type

```
> with(nhis, table(agr, dibtype, exclude = NULL)) |> addmargins() -> dtyp
> dtyp
```

agr	dibtype				Sum
	T1	T2	0	<NA>	
[0,10)	0	0	0	0	0
[10,20)	0	2	1	426	429
[20,30)	23	15	6	3309	3353
[30,40)	36	80	16	4535	4667
[40,50)	21	236	24	3868	4149
[50,60)	43	447	38	3957	4485
[60,70)	73	849	54	4602	5578
[70,80)	49	818	53	3564	4484
[80,90)	24	341	43	1904	2312
[90,100)	0	1	1	63	65
Sum	269	2789	236	26228	29522

table by age

```
> round(100 * dtyp[, 1:2] / dtyp[, "Sum"], 1)
```

agr	dibtype	
	T1	T2
[0,10)		
[10,20)	0.0	0.5
[20,30)	0.7	0.4
[30,40)	0.8	1.7
[40,50)	0.5	5.7
[50,60)	1.0	10.0
[60,70)	1.3	15.2
[70,80)	1.1	18.2
[80,90)	1.0	14.7
[90,100)	0.0	1.5
Sum	0.9	9.4

Prevalence: Probability

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prev-prob

Prevalence and probability

- ▶ prevalence defined as the **fraction** of a population that suffers from a given disease
- ▶ theoretically, prevalence can be defined as the **probability** that a randomly selected person has the disease
- ▶ opens the possibility of statistical modeling to address the question on how the prevalence of diabetes depends on age and sex, for example
- ▶ **statistical model**: a probability machinery that could have generated data
- ▶ properties of the machinery assumed to be properties of the real world

Prevalence: Probability (prev-prob)

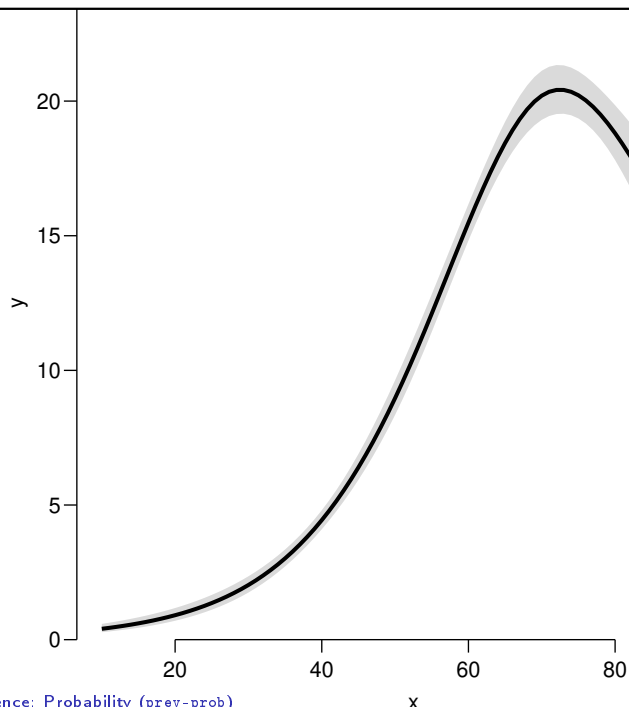
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probability model with agep

```
> nh <- subset(nhis, dibev %in% c("Y", "N") & sex %in% c("M", "W"))
> # model
> ma <- glm((dibev == "Y") ~ Ns(agep, knots = seq(30, 90, , 4)),
+         family = binomial,
+         data = nh)
> #
> # prediction frame
> da <- data.frame(agep = 10:95)
> head(pa <- ci.pred(ma, da) * 100)
      Estimate      2.5%      97.5%
1 0.4034395 0.2770811 0.5870824
2 0.4375991 0.3041219 0.6292888
3 0.4746372 0.3337856 0.6745235
4 0.5147940 0.3663231 0.7230036
5 0.5583292 0.4020088 0.7749616
6 0.6055237 0.4411424 0.8306467
> # plot prediction
> matshade(da[, "agep"], pa, plot = TRUE, lwd = 3, ylim = c(0, 25), yaxs = "i")
```

Prevalence: Probability (prev-prob)

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Prevalence: Probability (prev-prob)

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model separately for men and women

```
> # model for men
> Ma <- glm((dibev == "Y") ~ Ns(agep, knots = seq(30, 90,, 4)),
+         family = binomial,
+         data = subset(nh, sex == "M"))
> # model for women
> Wa <- update(Ma, data = subset(nh, sex == "W"))
> #
> # predicted prevalences
> pM <- ci.pred(Ma, da)
> pW <- ci.pred(Wa, da)
> #
> # ratio of predicted prevalences
> mw <- ci.ratio(pM, pW)
```

Prevalence: Probability (prev-prob)

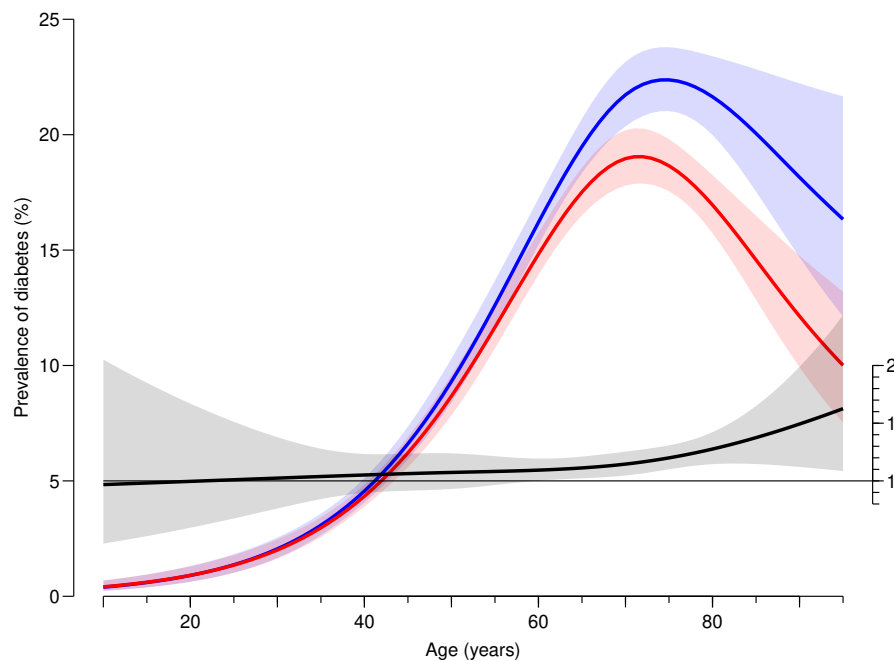
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plot prevalences for men and women

```
> # plot prevalences for men and women
> matshade(da$agep, cbind(pM, pW) * 100,
+         plot = TRUE,
+         xlab = "Age (years)",
+         ylab = "Prevalence of diabetes (%)", ylim = c(0, 25), yaxs = "i",
+         col = c("blue", "red"), lwd = 3)
> axis(side = 1, at = seq(15, 95, 5), labels = NA, tcl = -0.3)
> axis(side = 1, at = seq(10, 90, 10), labels = NA, tcl = -0.5)
> #
> # plot ratio of prevalences
> matshade(da$agep, mw * 5, lwd = 3)
> lines(c(10,100), c(5,5))
> axis(side = 4, at = c(1, 1.5, 2) * 5, labels = c(1, 1.5, 2))
> axis(side = 4, at = seq(0.8, 2, 0.1) * 5, labels = NA, tcl = -0.3)
```

Prevalence: Probability (prev-prob)

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Prevalence: Probability (prev-prob)

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Mortality: concepts

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mort-conc

mortality: concepts

- ▶ mortality rate is the “force of mortality”
- ▶ speed with which deaths occur
- ▶ a time aspect—how **long** have people been at risk of dying
- ▶ in practice:
 - ▶ how many persons for how long time (the **risk time** or “**person-years**”)
 - ▶ how many deaths (events) have occurred.
- ▶ the **individual** level we need to know how long time a person has been at risk of dying
- ▶ a person is at risk at a given time if she would have been recorded as dead had she died at the time

Mortality: concepts (mort-conc)

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mortality: concepts

- ▶ at the **individual** level we need to know how long time a person has been at risk of dying
- ▶ at the **theoretical** level we need a precise (probabilistic) definition of mortality
- ▶ a mortality **rate** is defined as the conditional probability of death in a small interval, conditional on
 - being alive at a given time t
 - divided by the length of the risk interval h :

$$\lambda(t) = \lim_{h \rightarrow 0} P \{ \text{event in } (t, t + h] \mid \text{alive at } t \} / h$$

- ▶ something that relates to the **time point** t
- ▶ in practise we will use some small value of h

mortality: concepts

$$\lambda(t) = \lim_{h \rightarrow 0} P \{ \text{event in } (t, t + h] \mid \text{alive at } t \} / h$$

- ▶ the t here is the **timescale**
 - when** the person is at risk;
- ▶ the h is the **risk** time
 - how long** the person has been at risk
- ▶ The rate has dimension time^{-1} —probability/events per time.
- ▶ The mortality is a function of t , but one possibility for this function is that it is constant, the same at all times:
 $\lambda(t) = \lambda \forall t.$

mortality: data

```
> data(DMlate)
> set.seed(1952)
> DMlate <- DMlate[sample(1:nrow(DMlate), 2000),]
> rownames(DMlate) <- 1:2000
> str(DMlate)

'data.frame':      2000 obs. of  7 variables:
 $ sex   : Factor w/ 2 levels "M","F": 2 1 2 1 1 1 1 1 1 1 ...
 $ dobth: num  1964 1944 1957 1952 1952 ...
 $ dodm  : num  2003 2006 2008 2007 2003 ...
 $ dodth: num  NA NA NA NA NA NA NA NA NA ...
 $ dooad : num  NA 2006 NA 2007 2006 ...
 $ doins : num  NA NA NA 2008 NA ...
 $ dox   : num  2010 2010 2010 2010 2010 ...

> head(DMlate)
  sex  dobth  dodm dodth  dooad  doins  dox
1  F 1963.591 2003.481    NA    NA    NA 2009.997
2  M 1944.127 2005.644    NA 2005.778    NA 2009.997
3  F 1956.790 2007.886    NA    NA    NA 2009.997
4  M 1952.355 2006.969    NA 2006.969 2008.026 2009.997
5  M 1952.240 2003.361    NA 2005.852    NA 2009.997
```


mortality: risk time and deaths

```
> (y <- with(DMlate, sum(dox - dodm)))
[1] 10742.34
> (d <- with(DMlate, sum(!is.na(dodth))))
[1] 479
> d / y
[1] 0.04458991
> round(d / y * 100, 1)
[1] 4.5
```

What are the units of these numbers?

mortality: risk time and deaths

```
> tt <- xtabs(cbind(D = !is.na(dodth),
+                  Y = dox - dodm) ~
+            agr,
+            data = mutate(DMlate,
+                          agr = cut(dodm - dobth,
+                                    seq(0, 100, 10),
+                                    right = FALSE)))
> tt
```

agr	D	Y
[0,10)	0.00000	104.37235
[10,20)	1.00000	146.11088
[20,30)	0.00000	271.86037
[30,40)	3.00000	710.50513
[40,50)	14.00000	1503.36208
[50,60)	55.00000	2323.28268
[60,70)	99.00000	2942.04244
[70,80)	188.00000	2027.45517
[80,90)	98.00000	660.26557
[90,100)	21.00000	53.08419

mortality: risk time and deaths

```
> cbind(mort = tt[, "D"] / tt[, "Y"] * 100)
```

	mort
[0,10)	0.0000000
[10,20)	0.6844117
[20,30)	0.0000000
[30,40)	0.4222348
[40,50)	0.9312461
[50,60)	2.3673400
[60,70)	3.3650092
[70,80)	9.2727081
[80,90)	14.8425125
[90,100)	39.5598019

Mortality: models for age

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mort-age

age and age is not the same

- ▶ What we have done is to classify follow-up (deaths and risk time) by the age **at diagnosis**
- ▶ more relevant to classify the follow-up by **current** age (also called **attained** age)
—the age of the person as it changes during follow-up
- ▶ requires follow-up for each person to be classified according to current age, so persons would potentially contribute follow-up in more than one age class
- ▶ how large should the age-classes be?

current age as time scale

```
> Lx <- Lexis(entry = list(age = dodm - dobth),
+           exit = list(age = dox - dobth),
+           exit.status = factor(!is.na(dodth), labels = c("Alive", "Dead")),
+           data = DMlate)
```

NOTE: entry.status has been set to "Alive" for all.

NOTE: Dropping 1 rows with duration of follow up < tol

```
> subset(DMlate, near(dodm, dox))
```

```
      sex  dobth  dodm  dodth dooad doins  dox
1895  F 1936.067 1996.984 1996.984  NA  NA 1996.984
```

```
> summary(Lx)
```

Transitions:

To

```
From  Alive Dead  Records:  Events: Risk time:  Persons:
  Alive 1521 478      1999      478  10742.34      1999
```

current age as time scale

```
> summary(Lx)
Transitions:
  To
From   Alive Dead  Records:  Events: Risk time:  Persons:
  Alive 1521 478    1999      478    10742.34    1999
> head(Lx, 10)
lex.id  age lex.dur lex.Cst lex.Xst sex  dobth  dodm dodth  dooad  doins
1 39.89  6.52  Alive  Alive F 1963.59 2003.48 NA NA NA 2
2 61.52  4.35  Alive  Alive M 1944.13 2005.64 NA 2005.78 NA 2
3 51.10  2.11  Alive  Alive F 1956.79 2007.89 NA NA NA 2
4 54.61  3.03  Alive  Alive M 1952.35 2006.97 NA 2006.97 2008.03 2
5 51.12  6.64  Alive  Alive M 1952.24 2003.36 NA 2005.85 NA 2
6 23.19  8.05  Alive  Alive M 1978.76 2001.95 NA NA 2001.97 2
7 47.14  4.93  Alive  Alive M 1957.93 2005.07 NA NA NA 2
8 82.15  4.67  Alive  Alive M 1923.17 2005.32 NA 2005.49 NA 2
9 60.18  6.73  Alive  Alive M 1943.09 2003.27 NA NA NA 2
10 46.74  5.29  Alive  Alive M 1957.96 2004.70 NA NA NA 2
```

current age as time scale

```
> str(Lx)
Classes 'Lexis' and 'data.frame':      1999 obs. of  12 variables:
 $ age      : num  39.9 61.5 51.1 54.6 51.1 ...
 $ lex.dur  : num  6.52 4.35 2.11 3.03 6.64 ...
 $ lex.Cst  : Factor w/ 2 levels "Alive","Dead": 1 1 1 1 1 1 1 1 1 1 ...
 $ lex.Xst  : Factor w/ 2 levels "Alive","Dead": 1 1 1 1 1 1 1 1 1 1 ...
 $ lex.id   : int   1 2 3 4 5 6 7 8 9 10 ...
 $ sex      : Factor w/ 2 levels "M","F": 2 1 2 1 1 1 1 1 1 1 ...
 $ dobth    : num  1964 1944 1957 1952 1952 ...
 $ dodm     : num  2003 2006 2008 2007 2003 ...
 $ dodth    : num  NA NA NA NA NA NA NA NA NA ...
 $ dooad    : num  NA 2006 NA 2007 2006 ...
 $ doins    : num  NA NA NA 2008 NA ...
 $ dox      : num  2010 2010 2010 2010 2010 ...
 - attr(*, "time.scales")= chr "age"
 - attr(*, "time.since")= chr ""
 - attr(*, "breaks")=List of 1
 ..$ age: NULL
```

current age as time scale

splitting follow-up along current age

```
> Sx <- splitLexis(Lx, breaks = seq(0, 100, 5))
> summary(Lx)
Transitions:
  To
From   Alive Dead  Records:  Events: Risk time:  Persons:
  Alive 1521 478    1999      478    10742.34    1999
> summary(Sx)
Transitions:
  To
From   Alive Dead  Records:  Events: Risk time:  Persons:
  Alive 3656 478    4134      478    10742.34    1999
```

current age as time scale

tabulate deaths and risk-time by current age:

```
> tt <- xtabs(cbind(D = lex.Xst == "Dead",  
+                 Y = lex.dur) ~  
+                 I(floor(age / 5) * 5),  
+                 data = Sx)  
> tt
```

I(floor(age/5) * 5)	D	Y
0	0.000000	13.258727
5	0.000000	44.838467
10	0.000000	81.636550
15	1.000000	65.104038
20	0.000000	75.470910
25	0.000000	97.076660
30	0.000000	203.124572
35	2.000000	281.568104
40	3.000000	448.275838
45	6.000000	654.642710
50	6.000000	879.850787
55	22.000000	1189.978097
60	33.000000	1318.171116

Mortality: models for age (mort-age)

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deaths and risk-time by current age

```
> (rt <- cbind(mort = tt[, "D"] / tt[, "Y"] * 100))
```

	mort
0	0.0000000
5	0.0000000
10	0.0000000
15	1.5360030
20	0.0000000
25	0.0000000
30	0.0000000
35	0.7103077
40	0.6692308
45	0.9165305
50	0.6819338
55	1.8487735
60	2.5034686
65	3.2105086
70	4.7989375
75	7.5337874
80	11.0200101
85	16.3104372
90	29.6655924

Mortality: models for age (mort-age)

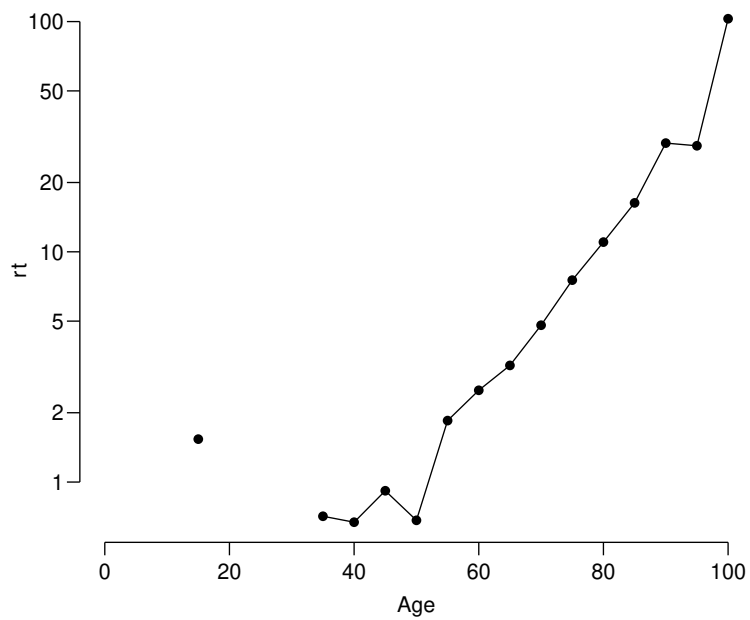
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current age as time scale

```
> plot(rownames(tt), rt, log = "y", type = "o", xlab = "Age", pch = 16)
```

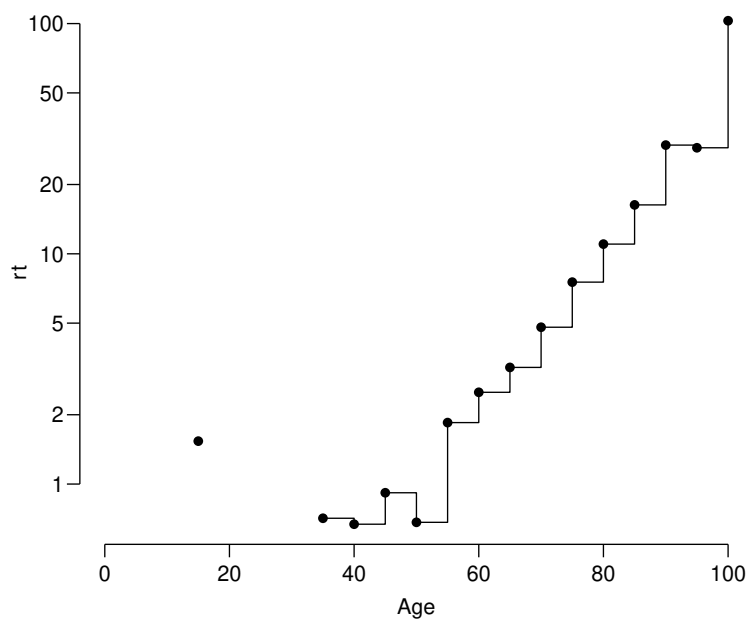
Mortality: models for age (mort-age)

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current age as time scale

```
> plot(rownames(tt), rt, log = "y", type = "s", xlab = "Age")
> points(rownames(tt), rt, pch = 16)
```



smooth model for age

- ▶ the assumption of constant rates in 5 year intervals is a bit coarse, 1 year would be a more reasonable approximation
- ▶ we estimated 21 parameters (one per 5 year age class)
- ▶ highly unrealistic that we would need 101 parameters to describe mortality by age.
- ▶ solution: put a parametric restriction on mortality rates in the 1-year age classes
- ▶ parametric modeling also has the advantage that we do not need to tabulate data, we can directly fit a model for age to the split data.

smooth model for age: 1 year classes

```
> Sx <- splitLexis(Lx, breaks = 0:100, time.scale = "age")
> summary(Lx)
```

Transitions:

```
  To
From  Alive Dead Records: Events: Risk time: Persons:
  Alive 1521 478    1999     478   10742.34    1999
```

```
> summary(Sx)
```

Transitions:

```
  To
From  Alive Dead Records: Events: Risk time: Persons:
  Alive 12201 478   12679     478   10742.34    1999
```

smooth model for age

```
> subset(Lx, lex.id %in% 16:17)
```

```
lex.id  age lex.dur lex.Cst lex.Xst sex  dobth  dodm  dodth dooad doins
   16 66.97  3.85  Alive  Alive  F 1939.18 2006.15  NA  NA  NA 201
   17 73.11  2.93  Alive  Dead  F 1932.69 2005.79 2008.72  NA  NA 200
```

```
> subset(Sx, lex.id %in% 16:17)
```

```
lex.id  age lex.dur lex.Cst lex.Xst sex  dobth  dodm  dodth dooad doins
   16 66.97  0.03  Alive  Alive  F 1939.18 2006.15  NA  NA  NA 201
   16 67.00  1.00  Alive  Alive  F 1939.18 2006.15  NA  NA  NA 201
   16 68.00  1.00  Alive  Alive  F 1939.18 2006.15  NA  NA  NA 201
   16 69.00  1.00  Alive  Alive  F 1939.18 2006.15  NA  NA  NA 201
   16 70.00  0.82  Alive  Alive  F 1939.18 2006.15  NA  NA  NA 201
   17 73.11  0.89  Alive  Alive  F 1932.69 2005.79 2008.72  NA  NA 200
   17 74.00  1.00  Alive  Alive  F 1932.69 2005.79 2008.72  NA  NA 200
   17 75.00  1.00  Alive  Alive  F 1932.69 2005.79 2008.72  NA  NA 200
   17 76.00  0.04  Alive  Dead  F 1932.69 2005.79 2008.72  NA  NA 200
```

smooth model for age

```
> mL <- glmLexis(Sx, ~ Ns(age, knots = seq(40, 80, 10)))
```

```
stats::glm Poisson analysis of Lexis object Sx with log link:  
Rates for the transition:  
Alive->Dead
```

```
> mP <- glm((lex.Xst == "Dead") ~ Ns(age, knots = seq(40, 80, 10)),  
+         offset = log(lex.dur),  
+         family = poisson,  
+         data = Sx)  
> round(cbind(ci.exp(mL),  
+           ci.exp(mP)), 4)
```

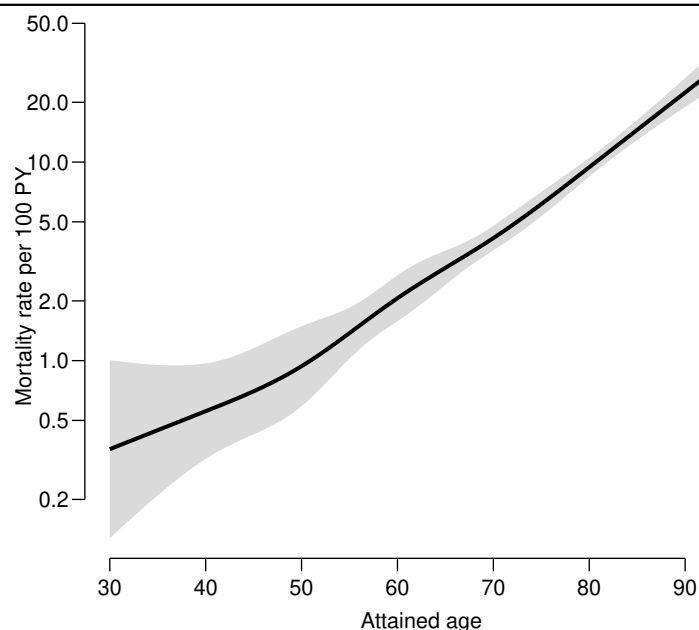
	exp(Est.)	2.5%	97.5%	exp(Est.)	2.5%	97.5%
(Intercept)	0.0056	0.0032	0.0097	0.0056	0.0032	0.0097
Ns(age, knots = seq(40, 80, 10))1	3.9144	1.7492	8.7598	3.9144	1.7490	8.7600
Ns(age, knots = seq(40, 80, 10))2	6.2849	3.7176	10.6249	6.2849	3.7173	10.6250
Ns(age, knots = seq(40, 80, 10))3	18.3976	7.0794	47.8107	18.3976	7.0743	47.8400
Ns(age, knots = seq(40, 80, 10))4	13.2045	7.7908	22.3800	13.2045	7.7907	22.3800

smooth model for age: predicted rates

```
> nd <- data.frame(age = 30:95)  
> pr.rates <- ci.pred(mL, nd) * 100  
> head(pr.rates)
```

	Estimate	2.5%	97.5%
1	0.3582582	0.1275648	1.0061469
2	0.3743926	0.1413134	0.9919077
3	0.3912537	0.1563451	0.9791130
4	0.4088741	0.1727125	0.9679558
5	0.4272881	0.1904434	0.9586845
6	0.4465314	0.2095274	0.9516190

```
> matshade(nd$age, pr.rates, plot = TRUE, log = "y", lwd = 3,  
+         xlab = "Attained age", ylab = "Mortality rate per 100 PY")
```



Mortality: models for duration

Bendix Carstensen

Prevalence, mortality and survival in diabetes epidemiology
IDEG 2025 Training day, Bangkok, 3 April 2025

<http://bendixcarstensen.com/AdvCoh/courses/IDEG2025>

mort-dur

smooth model for age

with age as covariate:

```
> Lx <- Lexis(entry = list(age = dodm - dobth),  
+           exit = list(age = dox - dobth) )
```

with time from diagnosis as covariate:

```
> Lx <- Lexis(entry = list(tfd = dodm - dodm),  
+           exit = list(tfd = dox - dodm),  
+           exit.status = factor(!is.na(dodth), labels = c("Alive", "Dead")),  
+           data = DMLate)
```

NOTE: entry.status has been set to "Alive" for all.

NOTE: Dropping 1 rows with duration of follow up < tol

```
> summary(Lx)
```

Transitions:

To

From	Alive	Dead	Records:	Events:	Risk time:	Persons:
Alive	1521	478	1999	478	10742.34	1999

Mortality: models for duration (mort-dur)

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```
> head(Lx, 17)
```

lex.id	tfd	lex.dur	lex.Cst	lex.Xst	sex	dobth	dodm	dodth	dooad	doins
1	0	6.52	Alive	Alive	F	1963.59	2003.48	NA	NA	NA 2
2	0	4.35	Alive	Alive	M	1944.13	2005.64	NA	2005.78	NA 2
3	0	2.11	Alive	Alive	F	1956.79	2007.89	NA	NA	NA 2
4	0	3.03	Alive	Alive	M	1952.35	2006.97	NA	2006.97	2008.03 2
5	0	6.64	Alive	Alive	M	1952.24	2003.36	NA	2005.85	NA 2
6	0	8.05	Alive	Alive	M	1978.76	2001.95	NA	NA	2001.97 2
7	0	4.93	Alive	Alive	M	1957.93	2005.07	NA	NA	NA 2
8	0	4.67	Alive	Alive	M	1923.17	2005.32	NA	2005.49	NA 2
9	0	6.73	Alive	Alive	M	1943.09	2003.27	NA	NA	NA 2
10	0	5.29	Alive	Alive	M	1957.96	2004.70	NA	NA	NA 2
11	0	9.20	Alive	Alive	M	1948.25	2000.80	NA	NA	NA 2
12	0	11.86	Alive	Dead	M	1934.42	1995.10	2006.96	NA	NA 2
13	0	6.61	Alive	Alive	M	1933.24	2003.38	NA	2005.63	NA 2
14	0	13.94	Alive	Alive	F	1938.97	1996.06	NA	NA	NA 2
15	0	0.43	Alive	Dead	M	1927.43	2004.84	2005.27	NA	NA 2
16	0	3.85	Alive	Alive	F	1939.18	2006.15	NA	NA	NA 2
17	0	2.93	Alive	Dead	F	1932.69	2005.79	2008.72	NA	NA 2

Mortality: models for duration (mort-dur)

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splitting along duration

```
> Sx <- splitLexis(Lx, breaks = seq(0, 20, 0.5))
> summary(Lx)
Transitions:
  To
From   Alive Dead  Records:  Events: Risk time:  Persons:
  Alive 1521  478    1999      478   10742.34    1999
> summary(Sx)
Transitions:
  To
From   Alive Dead  Records:  Events: Risk time:  Persons:
  Alive 22020  478    22498      478   10742.34    1999
```

```
> subset(Lx, lex.id %in% 15:17)
lex.id tfd lex.dur lex.Cst lex.Xst sex  dobth  dodm  dodth dooad doins  d
  15  0    0.43   Alive   Dead  M 1927.43 2004.84 2005.27  NA   NA 2005.
  16  0    3.85   Alive   Alive F 1939.18 2006.15  NA   NA   NA 2010.
  17  0    2.93   Alive   Dead  F 1932.69 2005.79 2008.72  NA   NA 2008.
> subset(Sx, lex.id %in% 15:17)
lex.id tfd lex.dur lex.Cst lex.Xst sex  dobth  dodm  dodth dooad doins  d
  15 0.0    0.43   Alive   Dead  M 1927.43 2004.84 2005.27  NA   NA 2005.
  16 0.0    0.50   Alive   Alive  F 1939.18 2006.15  NA   NA   NA 2010.
  16 0.5    0.50   Alive   Alive  F 1939.18 2006.15  NA   NA   NA 2010.
  16 1.0    0.50   Alive   Alive  F 1939.18 2006.15  NA   NA   NA 2010.
  16 1.5    0.50   Alive   Alive  F 1939.18 2006.15  NA   NA   NA 2010.
  16 2.0    0.50   Alive   Alive  F 1939.18 2006.15  NA   NA   NA 2010.
  16 2.5    0.50   Alive   Alive  F 1939.18 2006.15  NA   NA   NA 2010.
  16 3.0    0.50   Alive   Alive  F 1939.18 2006.15  NA   NA   NA 2010.
  16 3.5    0.35   Alive   Alive  F 1939.18 2006.15  NA   NA   NA 2010.
  17 0.0    0.50   Alive   Alive  F 1932.69 2005.79 2008.72  NA   NA 2008.
  17 0.5    0.50   Alive   Alive  F 1932.69 2005.79 2008.72  NA   NA 2008.
  17 1.0    0.50   Alive   Alive  F 1932.69 2005.79 2008.72  NA   NA 2008.
  17 1.5    0.50   Alive   Alive  F 1932.69 2005.79 2008.72  NA   NA 2008.
  17 2.0    0.50   Alive   Alive  F 1932.69 2005.79 2008.72  NA   NA 2008.
  17 2.5    0.43   Alive   Dead  F 1932.69 2005.79 2008.72  NA   NA 2008.
```

model the effect of time since diagnosis

```
> tL <- glmLexis(Sx, ~ Ns(tfd, knots = c(0, 1, 3, 6, 10)))
stats::glm Poisson analysis of Lexis object Sx with log link:
Rates for the transition:
Alive->Dead
> round(ci.exp(tL), 3)
                                exp(Est.)  2.5% 97.5%
(Intercept)                      0.061 0.048 0.077
Ns(tfd, knots = c(0, 1, 3, 6, 10))1  0.774 0.517 1.159
Ns(tfd, knots = c(0, 1, 3, 6, 10))2  0.944 0.662 1.344
Ns(tfd, knots = c(0, 1, 3, 6, 10))3  0.425 0.221 0.817
Ns(tfd, knots = c(0, 1, 3, 6, 10))4  1.190 0.899 1.574
```

predicted mortality rates

plot the mortality rates, but now as a function of time since diagnosis:

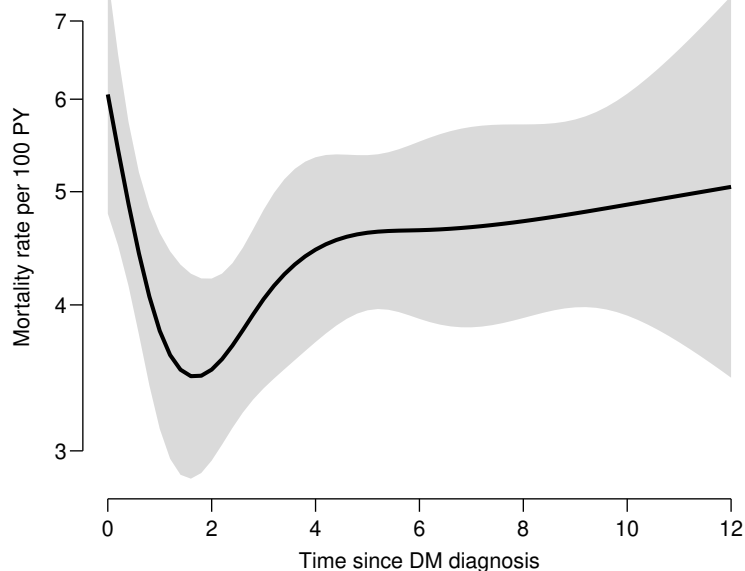
```
> nd <- data.frame(tfd = seq(0, 12, 0.2))
> pr.rates <- ci.pred(tL, nd)
> head(pr.rates)

      Estimate      2.5%      97.5%
1 0.06056585 0.04788313 0.07660781
2 0.05424695 0.04494245 0.06547778
3 0.04879401 0.04150913 0.05735739
4 0.04426341 0.03771416 0.05194997
5 0.04066812 0.03413191 0.04845600
6 0.03800474 0.03132808 0.04610433

> matshade(nd$tfd, pr.rates * 100, plot = TRUE,
+          log = "y", lwd = 3,
+          xlab = "Time since DM diagnosis",
+          ylab = "Mortality rate per 100 PY")
```

Mortality: models for duration (mort-dur)

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Mortality: models for duration (mort-dur)

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survival with diabetes

- ▶ data is follow-up of a random sample of diabetes patients from the date of diagnosis of diabetes
- ▶ natural to ask for the survival probability as a function of time from diagnosis.
- ▶ link between
 - a) mortality, $\lambda(t)$ and the origin $t = 0$ on one hand and
 - b) the survival function $S(t)$ on the other handis:

$$S(t) = \exp\left(-\int_0^t \lambda(u) du\right)$$

Mortality: models for duration (mort-dur)

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survival and mortality

$$S(t) = \exp\left(-\int_0^t \lambda(u) du\right)$$

... easy to derive the survival function by numerical integration of the mortality in `pr.rates[,1]`

```
> head(cbind(nd, pr.rates))
  tfd Estimate      2.5%      97.5%
1 0.0 0.06056585 0.04788313 0.07660781
2 0.2 0.05424695 0.04494245 0.06547778
3 0.4 0.04879401 0.04150913 0.05735739
4 0.6 0.04426341 0.03771416 0.05194997
5 0.8 0.04066812 0.03413191 0.04845600
6 1.0 0.03800474 0.03132808 0.04610433

> surv <- exp(-cumsum(pr.rates[, 1]) * 0.2)
> head(surv)
      1      2      3      4      5      6
0.9879599 0.9772991 0.9678082 0.9592783 0.9515075 0.9443026
```

Mortality: models for duration (mort-dur)

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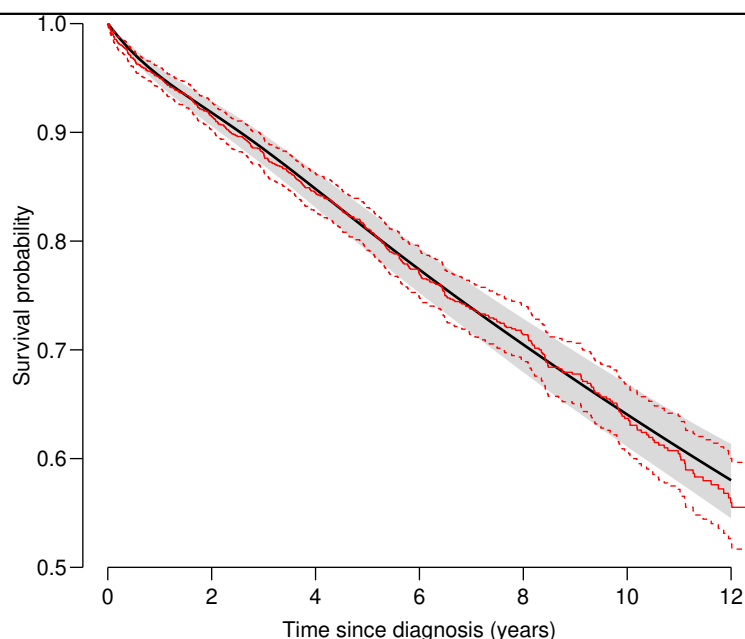
survival and mortality

- ▶ tricky to get a confidence interval for the survival function
- ▶ implemented in the function `ci.surv`
- ▶ for comparison we overlay the Kaplan-Meier estimate of the survival function (`survfit()`)

```
> matshade(nd$tfd, ci.surv(tL, nd), plot = TRUE,
+         lwd = 2, ylim = c(0.5, 1), yaxs = "i",
+         xlab = "Time since diagnosis (years)",
+         ylab = "Survival probability")
NOTE: interval length chosen from as tfd[2] - tfd[1]
> lines(survfit(Surv(dox - dodm, !is.na(dodth)) ~ 1, data = Lx), col = "red")
```

Mortality: models for duration (mort-dur)

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Mortality: models for duration (mort-dur)

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survival and mortality

An exposition of how to use parametric rate models is in the document “Who needs the Cox model anyway?” at <https://bendixcarstensen.com/WntCma.pdf>.

The document also explains how the Cox-model is a special case of the Poisson model.

Further reading in the `Epi` package vignettes:

```
> vignette(package = "Epi")  
> # vignette("aaflup", package = "Epi")
```